



1 FW

PATENT  
ATTORNEY DOCKET: 72167.000478

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number : 10/754,700 Confirmation No.: 9092  
Applicant : Andrew F. Gelson  
Filed : January 12, 2004  
Title : LIKE-KIND EXCHANGE METHOD  
TC/Art Unit : 3622  
Examiner: : Unassigned  
  
Docket No. : 72167.000478  
Customer No. : 21967

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUBMISSION OF INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, applicants submit attached Form PTO-SB/08A (modified) for consideration and request the references cited therein be made of record by the U.S. Patent and Trademark Office in the above-captioned application.

In accordance with 37 C.F.R. § 1.98(a)(2)(ii), copies of cited U.S. patents and/or U.S. patent application publications for national stage applications under 35 U.S.C. 371, are not being provided.

Applicants respectfully point out that the submission of the listed references in this Information Disclosure Statement is not an admission that they are prior art or that they are material to patentability of any claims of the application. Also, the submission of this Information Disclosure Statement is not an indication that a search has been made by Applicants.

For the convenience of the Examiner in considering the cited references, a copy of each of the cited references is enclosed with this communication. In considering the cited references, it may be noted by the Examiner that certain of the references may contain markings, underlinings, and/or other notations. These markings, underlinings, and/or other notations are not

Submission of Information Disclosure Statement  
Application No. 10/754,700

to be construed as drawing the Examiner's attention either to selected parts or away from other parts of the cited references. Any such markings were either present on the copies of the cited references obtained by the associated individuals, or were made thereon during the study of the references by the associated individuals.

As this application was filed after June 30, 2003, copies of U.S. patents and/or U.S. patent application publications for national stage applications under 35 U.S.C. 371 cited on the attached Form PTO-SB/08A (modified), are not being provided as specified in 1276 *O.G.* 55 (5 August 2003).

Consideration of the foregoing plus the prompt return of a copy of the enclosed Form SB/08A with the Examiner's initials in the left column in accordance with MPEP 609 are respectfully requested.

In accordance with 37 C.F.R. § 1.97(b), this Information Disclosure Statement is believed to be submitted prior to issuance of a first Office Action and within three months of the filing date of the application. Therefore, it is respectfully submitted that no fee is required for consideration of this information. However, in the event any fee is deemed necessary, the Commissioner is authorized to charge the undersigned's Deposit Account No. 50-0206.

Submission of Information Disclosure Statement  
Application No. 10/754,700

In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any difference to the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,

HUNTON & WILLIAMS LLP

By:

  
Yisun Song  
Registration No. 44,487

Dated: August 24, 2005

Hunton & Williams LLP  
Intellectual Property Department  
1900 K Street, N.W.  
Suite 1200  
Washington, DC 20006  
(202) 955-1500 (telephone)  
(202) 778-2201 (facsimile)  
YSS/vrp

O I P E  
AUG 24 2005  
PATENT & TRADEMARK OFFICE  
U.S. DEPARTMENT OF COMMERCE

Substitute for form 149A/PTO		Application Number	10/754,700
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Filing Date	January 12, 2004
		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
Sheet	1 of 13	Attorney Docket Number	72167.000478

### U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	US- 2001/0011241	08/02/2001	Nemzow	
	2.	US- 2001/0025264	09/27/2001	Deaddio	
	3.	US- 2001/0032217	10/18/2001	Huang	
	4.	US- 2001/0042034	11/15/2001	Elliott	
	5.	US- 2001/0043235	11/22/2001	Best et al.	
	6.	US- 2001/0044771	11/22/2001	Usher	
	7.	US- 2001/0056398	12/27/2001	Scheirer	
	8.	US- 2002/0002530	01/03/2002	May	
	9.	US- 2002/0004777	01/10/2002	Foster	
	10.	US- 2002/0007335	01/17/2002	Millard	
	11.	US- 2002/0007358	01/17/2002	Johnson et al.	
	12.	US- 2002/0013753	01/31/2002	Marks	
	13.	US- 2002/0013862	01/31/2002	Orchard	
	14.	US- 2002/0016762	02/07/2002	Feilbogen	
	15.	US- 2002/0016819	02/07/2002	Sugimoto	
	16.	US- 2002/0018077	02/14/2002	Powlette	
	17.	US- 2002/0022956	02/21/2002	Ukranincsky et al.	
	18.	US- 2002/0023053	02/21/2002	Szoc	
	19.	US- 2002/0026405	02/28/2002	Haar	
	20.	US- 2002/0026449	02/28/2002	Azencott	
	21.	US- 2002/0026462	02/28/2002	Shotten Jr. et al.	
	22.	US- 2002/0032644	03/14/2002	Corby	

EXAMINER SIGNATURE

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO		Application Number	10/754,700
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Filing Date	January 12, 2004
		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
Sheet	2 of 13	Attorney Docket Number	72167.000478

**U.S. PATENT DOCUMENTS**

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	23.	US- 2002/0035561	03/21/2002	Archer et al.	
	24.	US- 2002/0042767	04/11/2002	Kwan	
	25.	US- 2002/0049666	04/25/2002	Reuter	
	26.	US- 2002/0054115	05/09/2002	Mack et al.	
	27.	US- 2002/0059141	05/16/2002	Davies	
	28.	US- 2002/0065752	05/30/2002	Lewis	
	29.	US- 2002/0069157	06/06/2002	Jordan	
	30.	US- 2002/0073007	06/13/2002	Ayache	
	31.	US- 2002/0078253	06/20/2002	Szondy	
	32.	US- 2002/0087373	07/04/2002	Dickstein	
	33.	US- 2002/0087454	07/04/2002	Calo	
	34.	US- 2002/0087455	07/04/2002	Tsagarakis	
	35.	US- 2002/0087457	07/04/2002	Madelay	
	36.	US- 2002/0099646	07/25/2002	Agarwal	
	37.	US- 2002/0099656	07/25/2002	Wong	
	38.	US- 2002/0130868	09/19/2002	Smith	
	39.	US- 2002/0138390	12/26/2002	May	
	40.	US- 2002/0152154	10/17/2002	Rothman	
	41.	US- 2002/0152156	10/17/2002	Tyson-Quah	
	42.	US- 2002/0156658	10/24/2002	Selesny et al.	
	43.	US- 2002/0161692	10/31/2002	Loh	
	44.	US- 2002/0169707	11/14/2002	Koek	

EXAMINER SIGNATURE DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO		Application Number	10/754,700
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Filing Date	January 12, 2004
		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
		Sheet	3 of 13

### U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
45.	US-	2002/0184237	12/05/2002	McFeely	
46.	US-	2002/0194097	12/19/2002	Reitz	
47.	US-	2003/0004942	01/02/2003	Bird	
48.	US-	2002/0009411	01/09/2003	Ram	
49.	US-	2003/0018714	01/23/2003	Mikhailov	
50.	US-	2003/0033212	02/13/2003	Sandhu	
51.	US-	2003/0037174	02/20/2003	Lavin	
52.	US-	2003/0065594	04/03/2003	Murphy	
53.	US-	2003/0066025	04/03/2003	Garner et al.	
54.	US-	2003/0066032	04/03/2003	Ramachandran et al.	
55.	US-	2003/0078869	04/24/2003	Williams	
56.	US-	2003/0088496	05/08/2003	Plotrowski	
57.	US-	2003/0093360	05/15/2003	May	
58.	US-	2003/0093362	05/15/2003	Tupper	
59.	US-	2003/0093565	05/15/2003	Berger et al.	
60.	US-	2003/0105981	06/05/2003	Miller	
61.	US-	2003/0115122	06/19/2003	Slater	
62.	US-	2003/0126063	07/03/2003	Reuter	
63.	US-	2003/0126068	07/03/2003	Hauk	
64.	US-	2003/0126069	07/03/2003	Cha	
65.	US-	2003/0126117	07/03/2003	Megiddo et al.	
66.	US-	2003/0140035	07/24/2003	Burrows	
67.	US-	2003/0149653	08/07/2003	Penny	
68.	US-	2003/0154071	08/14/2003	Shreve	
EXAMINER SIGNATURE			DATE CONSIDERED		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO		Application Number	10/754,700
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Filing Date	January 12, 2004
		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
Sheet	4 of 13	Attorney Docket Number	72167.000478

### U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
69.	US-	2003/0158949	08/21/2003	Miller	
70.	US-	2003/0188255	10/02/2003	Shimizu et al.	
71.	US-	2003/0220861	11/27/2003	Broms et al.	
72.	US-	2003/0220868	11/27/2003	May	
73.	US-	2003/0233459	12/18/2003	Miller	
74.	US-	2003/0236862	12/25/2003	Miller	
75.	US-	2003/0236957	12/25/2003	Miller	
76.	US-	2004/0064397	04/01/2004	Lynn	
77.	US-	2004/0078248	04/22/2004	Altschuler	
78.	US-	2004/0103003	05/27/2004	Mayers et al.	
79.	US-	2004/0148247	07/29/2004	Miller	
80.	US-	2004/0162775	08/19/2004	Winklevoss et al.	
81.	US-	2005/0060256	03/17/2005	Peterson et al.	
82.	US-	2005/0086170	04/21/2005	Rao	
83.	US-	4648038	03/03/1987	Roberts	
84.	US-	4739478	04/19/1988	Roberts et al.	
85.	US-	4742457	05/03/1988	Leon	
86.	US-	4752877	06/21/1988	Roberts	
87.	US-	4933842	06/12/1990	Durbinet et al.	
88.	US-	5257369	10/26/1993	Skeen	

EXAMINER SIGNATURE

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p>		Application Number	10/754,700
		Filing Date	January 12, 2004
		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
Sheet	5 of 13	Attorney Docket Number	72167.000478

U.S. PATENT DOCUMENTS				
Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
89.	US- 5270922	12/14/1993	Higgins	
90.	US- 5297032	03/22/1994	Trojan et al.	
91.	US- 5305200	04/19/1994	Hartheimer	
92.	US- 5497317	03/05/1996	Hawkins et al.	
93.	US- 5517406	05/14/1996	Harris et al.	
94.	US- 5557798	09/17/1996	Skeen	
95.	US- 5592379	01/07/1997	Finfrock	
96.	US- 5649186	07/15/1997	Ferguson	
97.	US- 5706502	01/06/1998	Foley	
98.	US- 5710889	01/20/1998	Clark et al.	
99.	US- 5724593	03/3/1998	Hargrave III et al.	
100.	US- 5787402	07/07/1998	Oatman et al.	
101.	US- 5787402	07/28/1998	Potter	
102.	US- 5940810	08/17/1998	Traub	
103.	US- 5944784	08/31/1998	Simonoff et al.	
104.	US- 5806047	09/08/1998	Hackel et al.	
105.	US- 5806048	09/08/1998	Kiron	
106.	US- 5819273	10/06/1998	Vora et al.	
107.	US- 5832461	11/03/1998	Leon	
108.	US- 5845266	12/01/1998	Lupien	
109.	US- 5864827	01/26/1999	Wilson	
110.	US- 5890140	03/30/1999	Clark	
111.	US- 5913214	06/15/1999	Madnick et al.	
112.	US- 5922044	07/13/1999	Banthisa	
EXAMINER SIGNATURE			DATE CONSIDERED	
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>				

Substitute for form 1449A/PTO		Application Number	10/754,700
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Filing Date	January 12, 2004
		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
Sheet	6 of 13	Attorney Docket Number	72167.000478

U.S. PATENT DOCUMENTS					
*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	113.	US- 5918218	06/29/1999	Harris et al.	
	114.	US- 5946667	08/31/1999	Tull, Jr. et al.	
	115.	US- 5950176	09/07/1999	Keiser	
	116.	US- 5966531	10/12/1999	Skeen	
	117.	US- 5970479	10/19/1999	Shepherd	
	118.	US- 5995943	11/30/1999	Bull et al.	
	119.	US- 6012046	01/04/2000	Lupien	
	120.	US- 6018714	01/25/2000	Risen Jr.	
	121.	US- 6029146	02/22/2000	Hawkins	
	122.	US- 6029147	02/22/2000	Horadan	
	123.	US- 6401080	06/04/2002	Bigus	
	124.	US- 6049783	04/11/2000	Segal	
	125.	US- 6052673	04/18/2000	Leon	
	126.	US- 6055522	04/25/2000	Krishna	
	127.	US- 6058378	05/02/2000	Clark	
	128.	US- 6061662	05/09/2000	Makivic	
	129.	US- 6078914	06/20/2000	Redfern	
	130.	US- 6081774	06/27/2000	de Hita et al.	
	131.	US- 6088685	07/11/2000	Kiron	
	132.	US- 6092056	07/18/2000	Tull Jr. et al.	
	133.	US- 6105005	08/05/2000	Fuhrer	
EXAMINER SIGNATURE			DATE CONSIDERED		
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>					

Substitute for form 1449A/PTO		Application Number	10/754,700
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Filing Date	January 12, 2004
		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
Sheet	7 of 13	Attorney Docket Number	72167.000478

### U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
134.	US-	6131082	10/10/2000	Hargrave III et al.	
135.	US-	6134600	10/17/2000	Liu	
136.	US-	6148298	11/14/2000	LaStrange et al.	
137.	US-	6173270	01/09/2001	Cristofich	
138.	US-	6173276	01/09/2001	Kant	
139.	US-	6178420	01/23/2001	Sassano	
140.	US-	6185567	02/06/2001	Katnaraj et al.	
141.	US-	6195647	02/27/2001	Martyn	
142.	US-	6226623	05/01/2001	Schein	
143.	US-	6233566	05/15/2001	Levine	
144.	US-	6236972	05/22/2001	Shkedy	
145.	US-	6236977	05/22/2001	Verba	
146.	US-	6243670	06/05/2001	Bessho et al.	
147.	US-	6260021	07/10/2001	Wong	
148.	US-	6263321	07/17/2001	Daugherty	
149.	US-	6263335	07/17/2001	Paik et al.	
150.	US-	6272474	08/07/2001	Garcia	
151.	US-	6278982	08/21/2001	Korhammer	
152.	US-	6282537	08/28/2001	Madnick et al.	
153.	US-	6285986	09/04/2001	Andrews	
154.	US-	6285989	09/04/2001	Shoham	
155.	US-	6304858	10/16/2001	Mosler	

EXAMINER SIGNATURE

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO		Application Number	10/754,700
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Filing Date	January 12, 2004
		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
Sheet	8 of 13	Attorney Docket Number	72167.000478

### U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
156.	US-	6313854	11/06/2001	Gibson	
157.	US-	6317726	11/13/2001	O'Shaughnessy	
158.	US-	6317728	11/13/2001	Kane	
159.	US-	6321212	11/20/2001	Lange	
160.	US-	6323881	11/27/2001	Broulik	
161.	US-	6332163	12/18/2001	Gowman-Amuah	
162.	US-	6338055	01/08/2002	Hagmann	
163.	US-	6338068	01/08/2002	Curtis et al.	
164.	US-	6343287	01/29/2002	Kumar	
165.	US-	6347307	02/12/2002	Sandhu	
166.	US-	6349291	02/19/2002	Varma	
167.	US-	6356933	03/12/2002	Mitchell	
168.	US-	6360210	03/19/2002	Wallman	
169.	US-	6366908	04/02/2002	Chong et al.	
170.	US-	6381585	04/30/2002	Maples	
171.	US-	6381586	04/30/2002	Glasserman	
172.	US-	6385660	05/07/2002	Griesemer	
173.	US-	6389413	05/14/2002	Takahashi et al.	
174.	US-	6389452	05/14/2002	Glass	
175.	US-	6408282	06/18/2002	Buist	
176.	US-	6418417	07/09/2002	Corby	
177.	US-	6421653	07/16/2002	May	
178.	US-	6424980	07/23/2002	Iizuka et al.	
EXAMINER SIGNATURE			DATE CONSIDERED		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO		Application Number	10/754,700
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Filing Date	January 12, 2004
		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
		Sheet	9 of 13

### U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	179.	US- 6442545	08/27/2002	Feldman et al.	
	180.	US- 6446110	09/03/2002	Lection	
	181.	US- 6457066	09/24/2002	Mein	
	182.	US- 6480882	11/12/2002	McAdam	
	183.	US- 6489954	12/03/2002	Powlette	
	184.	US- 6490584	12/03/2002	Barrett et al.	
	185.	US- 6510406	01/21/2003	Marchisio	
	186.	US- 6516303	02/04/2003	Wallman	
	187.	US- 6516308	02/04/2003	Cohen	
	188.	US- 6523022	02/18/2003	Hobbs	
	189.	US- 6556987	04/29/2003	Brown et al.	
	190.	US- 6564250	05/23/2003	Nguyen	
	191.	US- 6581056	06/17/2003	Rao	
	192.	US- 6581062	06/17/2003	Draper et al.	
	193.	US- 6598028	07/22/2003	Sullivan	
	194.	US- 6601044	07/29/2003	Wallman	
	195.	US- 6611825	08/26/2003	Billheimer et al.	
	196.	US- 6629097	09/30/2003	Keith	
	197.	US- 6631373	10/07/2003	Otani et al.	
	198.	US- 6633868	10/14/2003	Min et al.	
		US-			
		US-			

EXAMINER SIGNATURE

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO		Application Number	10/754,700
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Filing Date	January 12, 2004
(use as many sheets as necessary)		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
Sheet	10 of 13	Attorney Docket Number	72167.000478

## FOREIGN PATENT DOCUMENTS

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO		Application Number	10/754,700
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Filing Date	January 12, 2004
		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
Sheet	11 of 13	Attorney Docket Number	72167.000478

OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS				
*Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION	
			YES	NO
	215.	MANCO et al., A Framework For Adaptive Mail Classification, 14 <sup>th</sup> IEEE Conference on Tools with Artificial Intelligence (ICTAI '02), p. 387, 11/04/2002	<input type="checkbox"/>	<input type="checkbox"/>
	216.	SILVERMAN, A new strategy for giving away your money, Wall Street Journal, D1, 10/06/2004	<input type="checkbox"/>	<input type="checkbox"/>
	217.	CZEJDO, Automatic generation ontology based annotations in XML and their use in retrieval systems, Web Information Systems Engineering, 2000, Proceedings of the First International Conference, p. 296, 06/19/2000	<input type="checkbox"/>	<input type="checkbox"/>
	218.	Beginning of Viewing Information and Viewing Basic Information About a Print Job, Novell, Inc., 06/01/1993	<input type="checkbox"/>	<input type="checkbox"/>
	219.	Block Trades Market Practice, Securities Market Practice Group, pp. 1-10, 04/01/2003	<input type="checkbox"/>	<input type="checkbox"/>
	220.	CHACKO, Cephalon, Inc. Taking Risk Management Theory Seriously, Journal of Financial Economics, Vol. 60, No. 2-3, 05/01/2001	<input type="checkbox"/>	<input type="checkbox"/>
	221.	KUS, Contingent capital: just in the capital management sets a new standard; Sponsored statement, Corporate Finance, p. 30, 10/01/2002	<input type="checkbox"/>	<input type="checkbox"/>
	222.	Electronic Trading Tools, earlier than 08/29/2003	<input type="checkbox"/>	<input type="checkbox"/>
	223.	Fast E-mail Extractor 4.4, www.lencon.com/FEE.html, 09/02/2003	<input type="checkbox"/>	<input type="checkbox"/>
	224.	Intelliseek and Inxight Partner to Provide New Business Intelligence Solutions, www.inxight.com/news/021029_intelliseek.html, 10/29/2002	<input type="checkbox"/>	<input type="checkbox"/>
	225.	Investigating Systems, 10/21/2002	<input type="checkbox"/>	<input type="checkbox"/>
EXAMINER SIGNATURE			DATE CONSIDERED	
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>				

Substitute for form 1449A/PTO		Application Number	10/754,700
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Filing Date	January 12, 2004
		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
Sheet	12 of 13	Attorney Docket Number	72167.000478

OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS				
*Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION	
			YES	NO
226.		MAY, Modeling and Querying Structure and Contents of the Web, 10 <sup>th</sup> International Workshop on Database & Expert Systems Applications, p. 721, 09/01/1999	<input type="checkbox"/>	<input type="checkbox"/>
227.		RUPALI et al., Phrase-based Text Representation for Managing the Web Documents, International Conference on Information Technology; Computers on Communication, p. 165, 04/28/2003	<input type="checkbox"/>	<input type="checkbox"/>
228.		LAM et al., Querying Web Data - The WebQA Approach, The Third International Conference on Web Information Systems Engineering (WISE '00), p. 139, 12/12/2002	<input type="checkbox"/>	<input type="checkbox"/>
229.		Rising to the Challenge of Global STP, Euromoney Publications PLC Global Investor, ISSN 0951-3604, pp. 51-52, 11/01/1999	<input type="checkbox"/>	<input type="checkbox"/>
230.		Softwerc releases patent-pending, Ericson, 11/15/2002	<input type="checkbox"/>	<input type="checkbox"/>
231.		STP in the Bond Market, Wall Street Journal & Technology, p. 20, 12/01/2002	<input type="checkbox"/>	<input type="checkbox"/>
232.		Strict Read Order Control for a Queing System, IBM Corporation, 03/01/2002	<input type="checkbox"/>	<input type="checkbox"/>
233.		CARCHIOLI et al., Structuring the Web, 11 <sup>th</sup> International Workshop on Database and Expert Systems Applications (DEXA '00), p. 1123, 09/06/2000	<input type="checkbox"/>	<input type="checkbox"/>
234.		WITTEN et al., Text Mining: A New Frontier for Lossless Compression, Data Compression Conference (DCC '99), p. 198, 03/29/1999	<input type="checkbox"/>	<input type="checkbox"/>
235.		FAN et al., The Internet and Future Financial Markets; Industry Trend or Event, Association for Computing Machinery, Inc., Sec. 11, Vol. 43, ISSN 001-0782, p. 83, 11/01/2000	<input type="checkbox"/>	<input type="checkbox"/>
EXAMINER SIGNATURE		DATE CONSIDERED		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO		Application Number	10/754,700
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Filing Date	January 12, 2004
		First Named Inventor	Andrew F. Gelson
		Art Unit	3622
		Examiner Name	Unassigned
Sheet	13 of 13	Attorney Docket Number	72167.000478

OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS				
*Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION	
			YES	NO
	236.	CALADO, The Web-DL Environment for Building Digital Libraries from the Web, 2003 Joint Conference on Digital Libraries (JCDL '03), p. 346, 05/27/2003	<input type="checkbox"/>	<input type="checkbox"/>
	237.	RIBIERO-NETO et al., Top Down Extraction of Semi-Structured Data, String Processing and Information Retrieval Symposium & International Workshop on Groupware, p. 176, 09/21/1999	<input type="checkbox"/>	<input type="checkbox"/>
	238.	TradeWeb's STP Vision, Euromoney Institutional Investor PLC, Sec. 406, Vol. 34, ISSN 0014-2433, 02/01/2003	<input type="checkbox"/>	<input type="checkbox"/>
	239.	ROBERTS, Top Priority on Bottom Line: More Companies Seeking to Manage Business Risks, Business Insurance, p. 3, 03/20/2000	<input type="checkbox"/>	<input type="checkbox"/>
	240.	ELKAYAM, Using Indexed Bonds, 4/11/2002	<input type="checkbox"/>	<input type="checkbox"/>
	241.	MYLLYMAKI, With Standard XML Technologies, IBM Almaden Research Center; www10.org/cdrom/papers/102/; (Abstract), 05/02/2001	<input type="checkbox"/>	<input type="checkbox"/>
	242.	x4queview.org, Hewlett-Packard, 03/02/1992	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
EXAMINER SIGNATURE		DATE CONSIDERED		
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>				